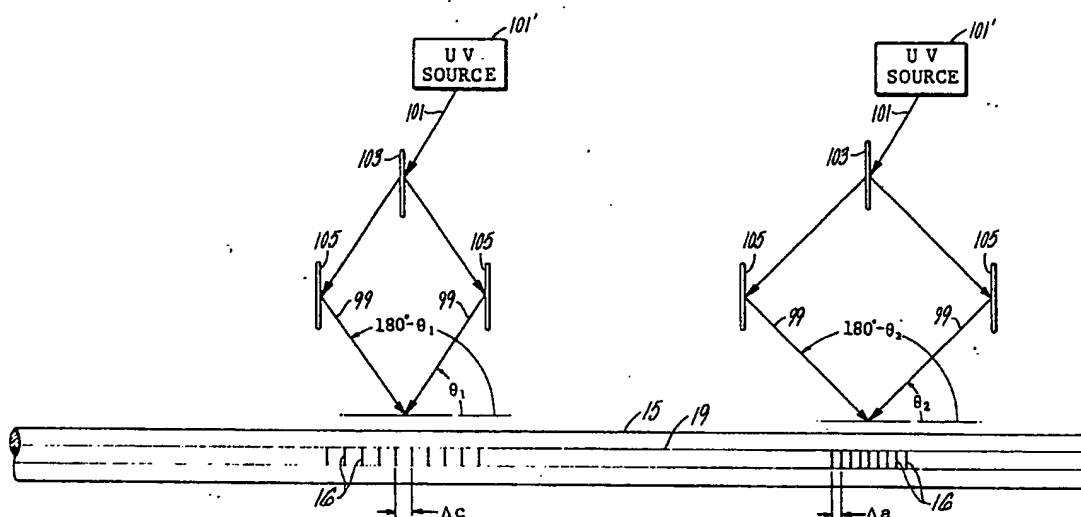


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(21) International Application Number: PCT/US85/01451 (22) International Filing Date: 31 July 1985 (31.07.85) (31) Priority Application Number: 640,489 (32) Priority Date: 13 August 1984 (13.08.84) (33) Priority Country: US (71) Applicant: UNITED TECHNOLOGIES CORPORATION [US/US]; One Financial Plaza, Hartford, CT 06101 (US). (72) Inventors: GLENN, William, H. ; 41 Marjorie Lane, Vernon, CT 06066 (US). MELTZ, Gerald ; 77 Daven-try Hill Road, Avon, CT 06001 (US). SNITZER, Elias ; 56 Ivy Road, Wellesley, MA 02181 (US).	(74) Agent: SABATH, Robert, P.; Patent Department, United Technologies Corporation, Hartford, CT 06101 (US). (81) Designated States: DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP. Published With international search report.	

(54) Title: METHOD FOR IMPRESSING GRATING WITHIN FIBER OPTICS



(57) Abstract

A method of establishing a dielectric periodic index of refraction phase grating (16) upon the core (19) of an optical waveguide (15) by intense angled application of several transverse beams (99) of ultraviolet light, enabling the establishment of a distributed, spatially resolving optical fiber strain gauge (13).

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Method For Impressing Grating
Within Fiber Optics

Technical Field

5 This invention relates to impressing,
establishing, printing or writing phase gratings in
optical fibers or waveguides and the optical
detection and measurement of strain distributions
with multi-wavelength light provided to said phase
10 gratings.

Background Of the Invention

It is known to determine the distribution of
axial strain or temperature along the length of a
fiber optic sensor according to the technique
15 described by S. K. Yao et al. in 21 Applied Optics
(1982) pages 3059-3060. According to this technique,
very small deformations at the interface between an
optical core and its cladding will cause light
measurably to couple from core to cladding modes.
20 This permits measurements by time-domain
reflectometry or a series of cladding taps to
determine transmission loss and the distribution of
applied perturbations.

Disclosure of Invention

25 According to the invention, phase gratings are
impressed along the core of an optical waveguide by
the application of intense beams of ultraviolet light

transverse to the axis of the core at selected angles of incidence and the complements thereto.

Brief Description of the Drawing

Fig. 1 is a schematic drawing of the spatially resolving optical fiber strain gauge according to the invention addressed herein;

Figs. 2A through 2C are partial schematics of selected sections of the optical waveguide including its cores, indicating grating patterns of varying spacing corresponding to selected regions A, B and C in a mechanical structure being monitored for strain;

Fig. 3 is a graph of the intensity spectrum of the reflected light produced by injecting broadband light into the core of the waveguide with shifts in the spectral lines indicating strain at specific stations; and

Fig. 4 shows a schematic illustration of a technique for establishing a grating pattern of variable spacing at selected positions along the length of the optical waveguide.

Best Mode for Carrying Out the Invention

Fig. 1 shows a schematic diagram of the spatially resolving optical fiber strain gauge 13. The gauge 13 includes an optical waveguide 15 or fiber operative to transmit a single or lowest order mode of injected light.

The core 19 of waveguide 15 is preferably a Germanium-doped silica or glass filament. The core 15 contains a series of variable spacing Bragg reflection gratings 16 written, impressed or otherwise applied by application of a variable

two-beam ultraviolet (less than 300 nanometer) interference pattern. These periodic gratings 16 or refractive index perturbations are permanently induced by exposure to intense radiation.

5 Figs. 2A through 2C shows the establishment of different wavelength gratings 16 corresponding to respective locations on core 19.

Each of selected gratings 16 is formed by transverse irradiation with a particular wavelength
10 of light in the ultraviolet absorption band of the core material associated with a position in a structural component 22. This procedure establishes a first order absorption process by which gratings 16 each characterized by a specific spacing and
15 wavelength can be formed by illuminating core 19 from the side with two coplanar, coherent beams incident at selected and complementary angles thereto with respect to the axis of core 19. The grating period is selected by varying the selected angles of
20 incidence. Thus, a permanent change in the refractive index is induced in a predetermined region of core 19, in effect creating a phase grating effective for affecting light in core 19 at selected wavelengths.

25 As indicated in Fig. 1 the optical waveguide 15 and core 19 are attached or embedded in a section of structural component 22, in particular a plate for example. Core 19 contains characteristic periodic refractive index perturbations or gratings 16 in
30 regions A, B and C thereof. A broadband light source 33 or tunable laser is focused through lens 33' onto the exposed end of core 19. A beam splitter 34 serves to direct the return beam from core 19 toward

a suitable readout or spectrometer 37 for analysis. Alternatively, a transmitted beam passing out of the end 19' of core 19 could be analyzed.

5 The spectrum of the reflected light intensities from strain gauge 13 is shown in Fig. 3. A complementary transmitted spectrum is also established passing out of the end 19' of core 19. The spectrum contains three narrowband output lines centered at
10 λ_A , λ_B and λ_C . These output signals arise by Bragg reflection or diffraction from the phase gratings 16 at respective regions A, B and C. In this example, regions A and C of structural component 22 have been strained by deformation, causing a compression and/or
15 dilation of the periodic perturbations in the fiber core.

As a result, the corresponding spectral lines are shifted as shown in Fig. 3 to the dotted lines indicated. The respective wavelength differences
20 $\Delta\lambda_A$ and $\Delta\lambda_C$ are proportional to strain in respective regions A and C.

Fig. 4 illustrates the formation of periodic perturbations or gratings 16 in a region of fiber core 19 in response to exposure of core 19 to intense
25 transverse ultraviolet radiation. Grating spacings Δa and Δc are controlled by the incidence angle of incident interfering beams 99 and beam 101. As can be seen, the angles of incidence of beams 99 are complements (i.e. their sum equals 180 degrees) to
30 each other with respect to the axis of core 19. The incident pair of beams 99 can be derived from a single incident beam 101 passing in part through a beam splitter 103 and reflecting from spaced parallel

reflectors 105. By increasing the separation between reflectors 105 and correspondingly varying the angles of incidence of beam 101, the angles of incidence of beams 99 upon core 19 can be controlled.

5 Accordingly, the fringe spacing in grating 16 is varied as desired along the length of core 19, to permit a determination of strain or temperature corresponding to location along gauge 13.

10 Several spacings can be superimposed or colocated by this technique for the response set forth below.

Sensitivity to external perturbations upon structural component 22 and thus also upon core 19 depends upon the Bragg condition for reflected
15 wavelength. In particular, the fractional change in wavelength due to mechanical strain or temperature change is:

$$d(\lambda_i)/\lambda_i = (q + \alpha)\Delta T + (1 + \partial n/\partial \epsilon)\epsilon$$

$$+ 8 \times 10^{-6}/^{\circ}\text{C}$$

20 $+ 8 \times 10^{-7}/\text{microstrain, where:}$

q is the thermooptic coefficient, which is wavelength dependent;

α is the expansion coefficient;

ϵ is the axial or longitudinal strain;

25 λ_i is the wavelength reflected by the grating at location i along the core 19;

n is the refractive index of the optical waveguide; and

- 6 -

ΔT is the change in temperature.

This relationship suggests a way to compensate for temperature changes along the length of the fiber sensor. In particular, if superimposed gratings of
5 different spacings are provided, each of the two gratings will be subject to the same level of strain, but the fractional change in wavelength of each grating will be different because q is wavelength dependent.

10 Accordingly, each pair of superimposed gratings will display a corresponding pair of peaks of reflected or transmitted intensity. Accordingly, the shifts of these peaks due to a combination of temperature and strain can be subtracted. The shifts
15 in these peaks due to strain will be the same in magnitude. Accordingly, any remaining shift after subtraction is temperature related. Thus, when it is desired to know the strain difference as between several locations possibly subject to a temperature
20 difference, the temperature factor can be compensated.

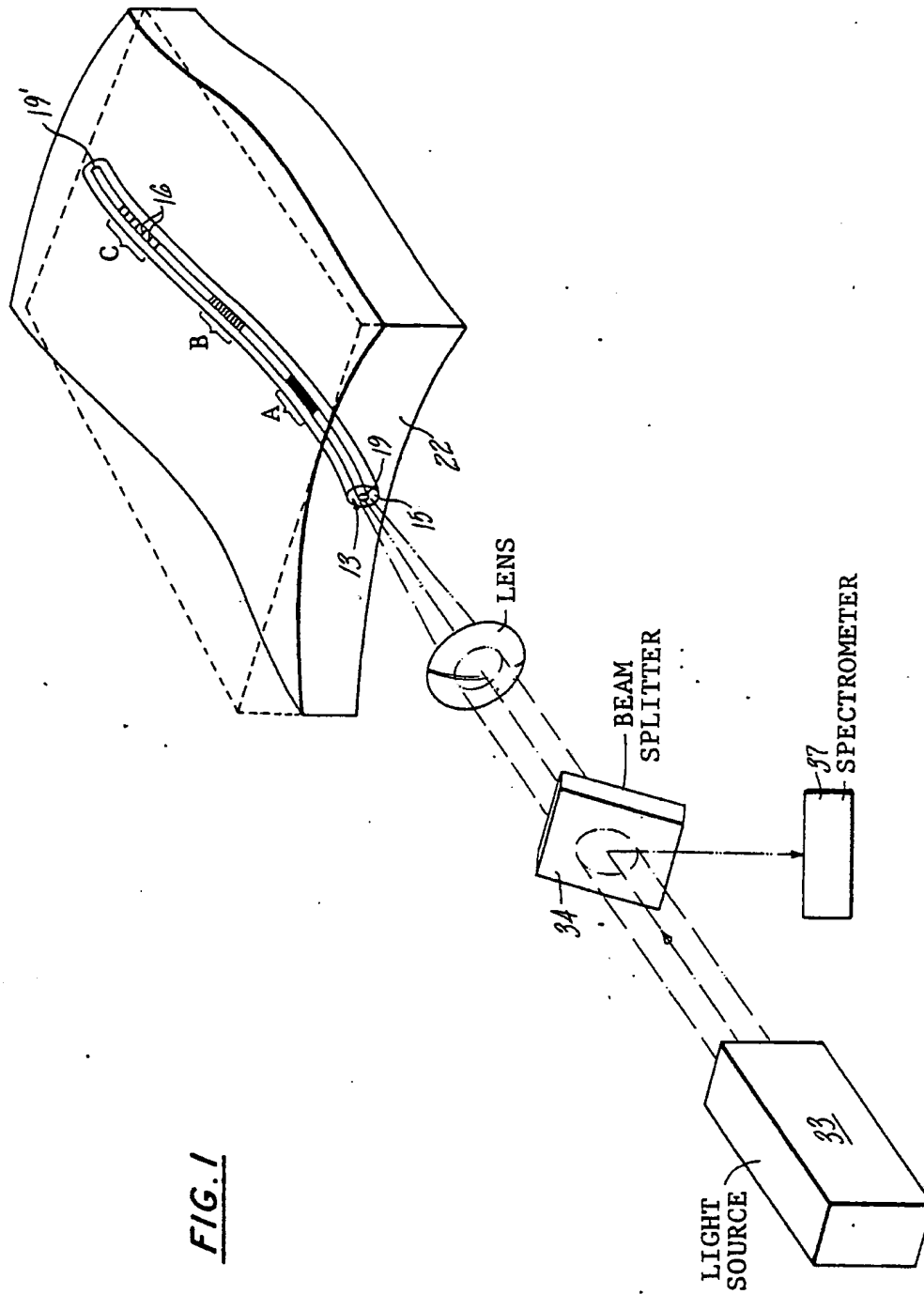
The relationship therefore permits compensation for temperature variation during measurement, since the photoelastic and thermoptic effects are
25 wavelength dependent. In other words, by superimposing two or more gratings at each location of interest, two or more spectral lines are established at each point of measurement. Strain will affect both lines equally; temperature will not.
30 Thus, sufficient information is available to permit determination of the magnitude of strain and the temperature difference.

The information above is likely to cause others skilled in the art to conceive of other variations in carrying out the invention addressed herein, which nonetheless are within the scope of the invention.

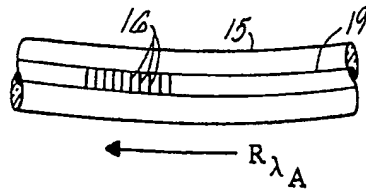
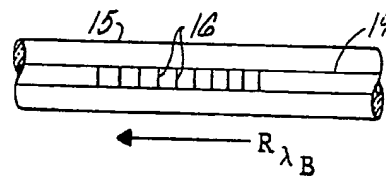
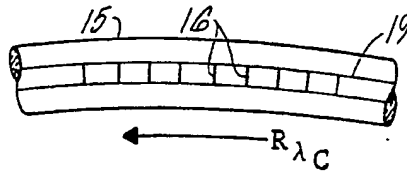
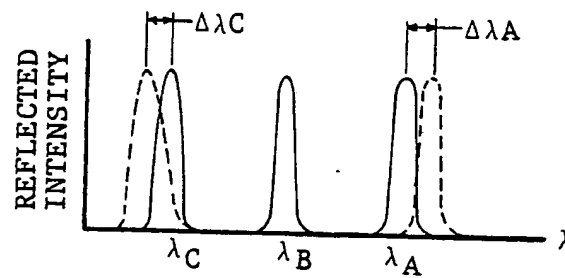
- 5 Accordingly, reference to the claims which follow is urged, as those specify with particularly the metes and bounds of the invention.

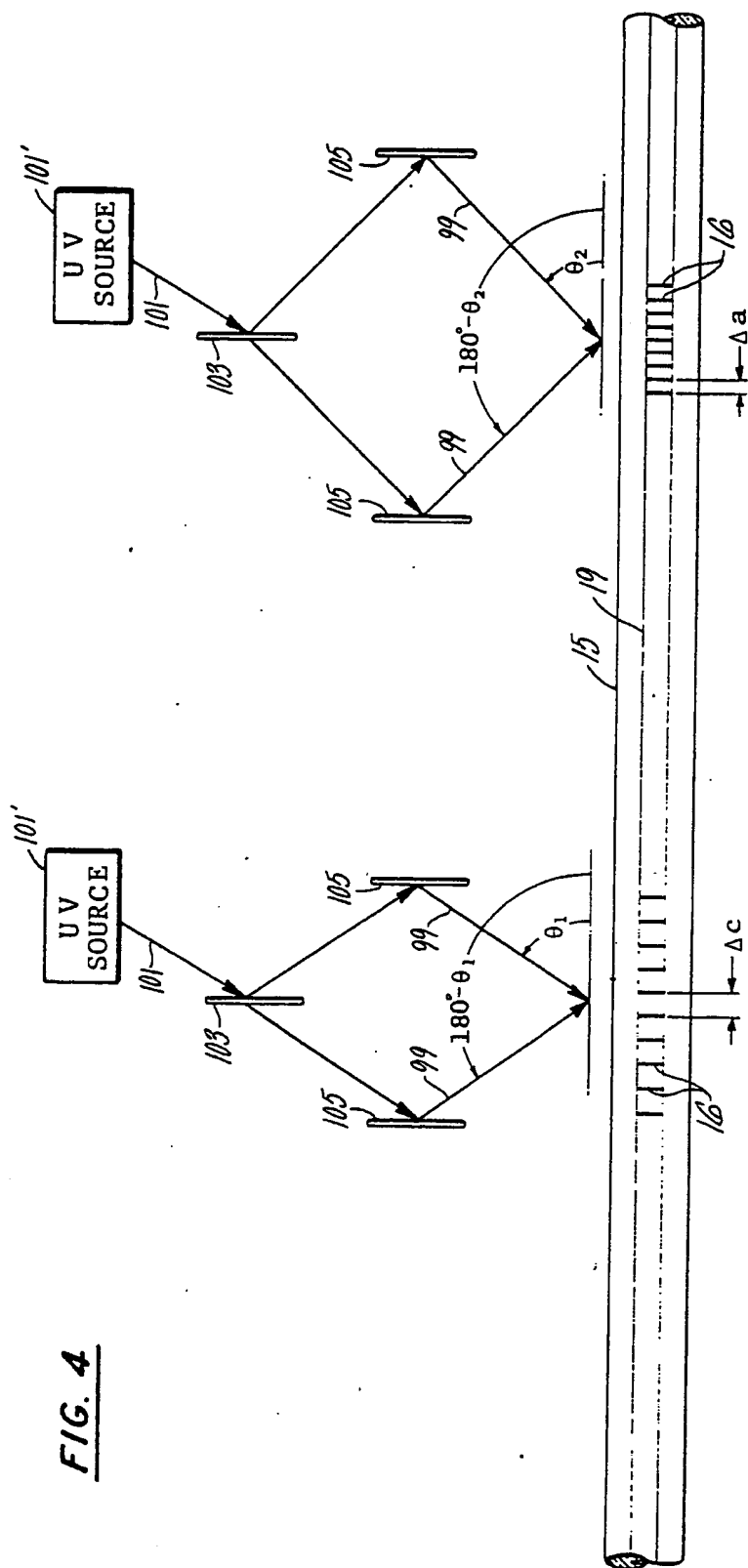
Claims

1. A method of impressing selected regions of the core of an optical waveguide with periodic dielectric index of refraction variation upon said core,
5 comprising the steps of positioning each of said regions of core under a coherent light source of intense ultraviolet radiation; and
directing respective first and second coherent, coplanar beams, of said intense ultraviolet light
10 transversely upon selected portions of said core at selected angles of incidence and its complement with respect to the axis of said core.



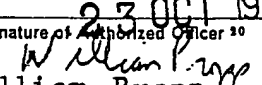
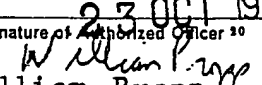
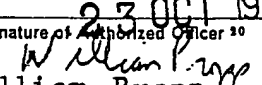
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FIG. 2AFIG. 2BFIG. 2CFIG. 3



INTERNATIONAL SEARCH REPORT

International Application No PCT/US85/01451

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ² According to International Patent Classification (IPC) or to both National Classification and IPC INT. CL ⁴ G 02B 5/18; G02B 6/34 US CL 350/96.19; 350/162.2																	
II. FIELDS SEARCHED <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched ⁴</div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border-bottom: 1px solid black;">Classification System</th> <th style="border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="padding: 10px; vertical-align: top;">U.S.</td> <td style="padding: 10px; vertical-align: top;">350/3.7, 96.19, 162.17, 162.2, 162.21</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵</div>			Classification System	Classification Symbols	U.S.	350/3.7, 96.19, 162.17, 162.2, 162.21											
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III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴ <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; padding: 5px;">Category ⁸</th> <th style="width: 60%; padding: 5px;">Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷</th> <th style="width: 30%; padding: 5px;">Relevant to Claim No. ¹⁸</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">US, A, 4, 286, 838 (Huignard et al.) 01 September 1981</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">JP, A, 55-110, 207 (Segawa) 25 August 1980</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">US, A, 4, 093, 339 (Cross) 06 June 1978</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">US, A, 3, 891, 302 (Dabby et al.) 24 June 1975</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1</td> </tr> </tbody> </table>			Category ⁸	Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸	Y	US, A, 4, 286, 838 (Huignard et al.) 01 September 1981	1	Y	JP, A, 55-110, 207 (Segawa) 25 August 1980	1	Y	US, A, 4, 093, 339 (Cross) 06 June 1978	1	Y	US, A, 3, 891, 302 (Dabby et al.) 24 June 1975	1
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<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>¹⁶ Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </div> </div>																	
IV. CERTIFICATION <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px; vertical-align: top;"> Date of the Actual Completion of the International Search ³ <div style="text-align: center;">15 October 1985</div> </td> <td style="width: 50%; padding: 5px; vertical-align: top;"> Date of Mailing of this International Search Report ³ <div style="text-align: center;">23 OCT 1985</div> </td> </tr> <tr> <td style="width: 50%; padding: 5px; vertical-align: top;"> International Searching Authority ¹ <div style="text-align: center;">ISA/US</div> </td> <td style="width: 50%; padding: 5px; vertical-align: top;"> Signature of Authorized Officer ¹⁰ <div style="text-align: center;">  William Propp </div> </td> </tr> </table>			Date of the Actual Completion of the International Search ³ <div style="text-align: center;">15 October 1985</div>	Date of Mailing of this International Search Report ³ <div style="text-align: center;">23 OCT 1985</div>	International Searching Authority ¹ <div style="text-align: center;">ISA/US</div>	Signature of Authorized Officer ¹⁰ <div style="text-align: center;">  William Propp </div>											
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